CS-226 Computability Theory, Coursework 1

Sean Handley, 320097@swan.ac.uk

March 2006

1

1.1 Show that, if A is finite, then $|\mathcal{P}(A)| = 2^{|A|}$ Suppose $A = \emptyset$

 $\mathcal{P}(A) = \{\emptyset\}$ therefore $|\mathcal{P}(A)| = 1$

 $|A| = 0$

$$
|\mathcal{P}(A)| = 2^0 = 1
$$

The base case holds.

Suppose $A = a \cup B$, $a \notin B$ therefore $|A| = |B| + 1$

 $|\mathcal{P}(B)| = 2^{|B|}$ and $|\mathcal{P}(A)| = 2^{|B+1|}$

Therefore:

 $\mathcal{P}(A) = \mathcal{P}(B) + \text{induction step } (+1)$

 $2^{|B+1|} = 2^{|(B+1)|}$

Therefore the induction step holds and $|\mathcal{P}(A)|$ is always equal to $2^{|A|}$ where \boldsymbol{A} is finite.

1.2 Show that $|A| \neq |\mathcal{P}(A)|$.

If $|A| = |\mathcal{P}(A)|$ holds true for any value, then it contradicts the proof in 1.1, which is not intuitively feasible.

However, suppose $|A| = |\mathcal{P}(A)|$.

Let $A = \emptyset$.

 $|\mathcal{P}(A)| = 2^{|A|} = 2^0 = 1$

But $|A| = 0$, a contradiction.

Therefore, we can conclude that $|A| \neq |\mathcal{P}(A)|$.

2 Define for sets A, B that $A \leq B$ if, and only if, there exists an injective function $f : A \rightarrow B$. Show that \leq is reflexive and transitive. Is \leq symmetric? Prove your answer.

Def: $A \leq B \iff f : A \to B$ s.t. $\forall a, f(a) \to f(b), a \in A, b \in B$

Reflexivity:

 $A \leq B \sim A' \leq B'$

if, and only if, there exists an injective function

$$
f: A \to A'
$$
 s.t. $\forall a, \forall a', f(a) \to f(a'), a \in A, a' \in A'$

and $f : B \to B'$ s.t. $\forall b, \forall b', f(b) \to f(b'), b \in B, b' \in B'$

Transitivity: $A \leq B, B \leq C \rightarrow A \leq C$

$$
f: A \to B
$$
 s.t. $\forall a, f(a) \to f(b), a \in A, b \in B$

$$
f: B \to C
$$
 s.t. $\forall b, f(b) \to f(c), b \in B, c \in C$

 $f: A \to C$ s.t. $\forall a, f(a) \to f(c), a \in A, c \in C$

Symmetry: $A \leq B \sim B \leq A$

Suppose $|A| \leq |B| \sim |B| \leq |A|$

According to the above definition,

$$
A \leq B \iff f : A \to B \text{ s.t. } \forall a, f(a) \to f(b), a \in A, b \in B
$$

and $B \leq A \iff f : B \to A \text{ s.t. } \forall b, f(b) \to f(a), a \in A, b \in B.$

Assuming \leq is indeed a symmetric relation, then it must also be a bijection. Therefore \leq is always symmetric where $|A| = |B|$.

Now we must prove $|A| \leq |B| \sim |B| \leq |A|$ where $|A| \neq |B|$. Put more simply, $|A| < |B| \sim |B| < |A|$.

Proof by induction.

Let $|A| = 0$. This means $|B| > 0$. Let $|B| = 1$.

This holds true for $|A| < |B|$ but not for $|B| < |A|$, giving a contradiction. If we change our original conjecture to $|A| < |B| \nsim |B| < |A|$ then the base case holds and we can move on to the induction step.

Suppose $A = a \cup B, a \notin B$ therefore $|A| = |B| + 1$

This means $|B| < |A|$ because $|A|$ is always bigger than $|B|$ by one. Consequently, $|A| < |B|$ can never happen. Therefore, \lt is never symmetrical and \leq is only symmetrical when A and B are equinumerous.

3 Which of the following sets are countable? Prove your answer.

A set is countable if it is finite or is equinumerous with N.

3.1 The set $A := \{n \in \mathbb{N} | n \geq 5\}.$

N is countable, therefore $\mathbb{N} - \{1, 2, 3, 4, 5\}$ is also countable because $|\mathbb{N}| > |\mathbb{N} {1, 2, 3, 4, 5}.$

3.2 The set $P(A)$ where A is as in 3.1.

 $P(A)$ is not countable because $P(\mathbb{N})$ is not countable.

3.3 The set $B := \{n \in \mathbb{N} \mid 0 \leq 5\}.$

This is countable because it is the set $\{1,2,3,4,5\}.$

3.4 The set $P(B)$ where B is as in 3.3.

This is countable because it is finite.

3.5 The set of finite subsets of \mathbb{Z} .

This is not countable because the set of finite subsets of $\mathbb Z$ is not equinumerous with N

3.6 $\mathcal{P}(\mathbb{Z})$.

This is not countable because it is not equinumerous with N.

3.7 The set of infinite subsets of \mathbb{Z} .

This is not countable because it is not equinumerous with \mathbb{Z} .

3.8 The set $C := \{f | f : \mathbb{N} \to \{0, 1, 2\}\}.$

This set is countable because it is equinumerous with N. This is provable because there exists a bijection $f : \mathbb{N} \to \{0, 1, 2\}.$

3.9 The set $D := \{(x_0, ..., x_{n-1}) \in \mathbb{N}^* | n \in \mathbb{N}, x_i \in \mathbb{N}, x_i \neq x_j,$ for $i \neq j$.

This is the set of all strings over N [∗] where each string is made up of unique natural numbers. It is countable.

4 Determine a URM program which computes the function $f : \mathbb{N} \to \mathbb{N}, f(x) := 2 \cdot x$. Justify your answer.

 $I_0 = iszero(0, 5)$ $I_1 = succ(1)$ $I_2 = succ(1)$ $I_3 = pred(0)$ $I_4 = iszero(2,0)$ $I_5 = iszero(1, 11)$ $I_6 = succ(2)$ $I_7 = succ(0)$ $I_8 = pred(1)$ $I_9 = iszero(1, 11)$ $I_{10} = iszero(3, 6)$

If $R_0 = 0$ then $2 \cdot x = 0$. Execution jumps to I_5 which jumps to I_{11} because R_1 is zero. This ends the execution.

If $R_0 > 0$ then I_0 returns false and execution moves to I_1 . I_1 and I_2 each increment R_1 by one. I_3 decrements R_0 by 1. At this point, I_4 checks if R_2 is zero, which it should be. This returns us to I_0 and the process loops

until $R_0 = 0$, leaving R_1 holding double the value that was initially in R_0 . At this point, I_0 returns true and execution jumps to I_5 , which returns false, allowing execution to flow to I_6 . At this point, we need to copy the value of R_1 (which is now our answer) into R_0 . I_6 increments R_2 by one, which acts as a flag, showing that the answer has been computed and is now to be moved into R_0 . I_7 increments R_0 (which is now zero) by one. I_8 decrements R_1 by one. I_9 checks if R_1 is zero. If it is, then the answer has been moved to R_0 and execution jumps to I_{11} , which brings us to a halt. If R_1 is not zero, execution flows to I_{10} which checks if R_3 is zero. It will be because we haven't used it, therefore it returns *true* and executon continues from I_6 until R_0 holds the answer of the computation.

5 Determine the function $U^{(2)}$ computed by the following URM program U:

 $I_0 = iszero(0, 4)$ $I_1 = pred(0)$ $I_2 = succ(1)$ $I_3 = iszero(2,0)$ $I_4 = iszero(1,8)$ $I_5 = pred(1)$ $I_6 = succ(0)$ $I_7 = iszero(2, 4)$

 $U^{(2)} = f(a, b) \to a + b.$

5.1 Justify your answer. What happens if one omits I_4-I_7 from the program?

If both starting inputs, R_0 and R_1 , are zero, then I_0 returns true and execution jumps to I_4 , which also returns true, jumping to I_8 and ending execution.

If $R_0 = 0$ and $R_1 > 0$, I_0 returns true and execution jumps to I_4 which returns false and execution carries on to I_5 , which decrements R_1 by one. I_6 increments R_0 by one. I_7 checks if R_2 is zero, which it isn't because it hasn't been used. Execution returns to I_4 and the loop continues until the value in R_1 is in R_0 . At this point I_4 returns true and execution ends by jumping to I_8 leaving the value of $R_1 + 0$ in R_0 .

If $R_0 > 0$ and $R_1 = 0$, I_0 returns false and the answer is computed in the same way as before, only using instructions $I_0 - I_3$ until the answer is in R_1 . The answer is then moved to R_0 as before.

The execution where $R_0 > 0$ and $R_1 > 0$ proceeds in a similar fashion to the previous two cases.

If $I_4 - I_7$ are omitted, $U^{(2)} = f(a, b) \rightarrow 0$. This is because the value computed into R_1 is never moved back to R_0 .

6 Write a Java program which simulates a URM.

```
import java.util.*;
```
/**

*

```
* URM Simulator.
```

```
* @author Sean Handley, 320097@swan.ac.uk
 * @version March 2006
 */
public class URM {
        //container for registers/instructions
        private ArrayList registers, instructions;
        private int PC; //program counter
        //inner classes defining the 3 operations
        private class Succ {
                private int reg;
                public Succ(int reg) {
                        this.reg = reg;
                }
                public int getReg() {
                        return reg;
                }
        }
        private class Pred {
                private int reg;
                public Pred(int reg) {
                        this.reg = reg;
                }
                public int getReg() {
                        return reg;
                }
        }
        private class IsZero {
                private int reg, next;
                public IsZero(int reg, int next) {
```

```
this.reg = reg;
                 this.next = next;
        }
        public int getReg() {
                 return reg;
        }
        public int getNext() {
                 return next;
        }
}
/**
 * Initialises the simulator.
 */
public URM() {
        //ArrayLists allow an infinite number of elements
        //(up to the limit of the machine's memory)
        registers = new ArrayList();
        instructions = new ArrayList();
        PC = 0; //set the program counter to 0.
                          //This point to the current instruction.
}
//this is where instructions are specified
private void load() {
        //EXAMPLE FROM QUESTION 4.
        //Binary addition function, f(a,b) \rightarrow a + b//set the registers with our start values
        //e.g. a binary operation using the values 2 and 3
        //registers.add(Index, Object);
        registers.add(0,new Integer(2));
        registers.add(1,new Integer(5));
        //NOTE: The values must be integer OBJECTS rather
        //than primitives
        //(this is because we're using an ArrayList).
```

```
7
```

```
IsZero isZero1 = new IsZero(0,4);
        //all instructions must be added to the list
        instructions.add(isZero1);
        Pred pred1 = new Pred(0);instructions.add(pred1);
        Succ succ1 = new Succ(1);instructions.add(succ1);
        IsZero isZero2 = new IsZero(2,0);
        instructions.add(isZero2);
        IsZero isZero3 = new IsZero(1,8);
        instructions.add(isZero3);
        Pred pred2 = new Pred(1);instructions.add(pred2);
        Succ succ2 = new Succ(0);instructions.add(succ2);
        IsZero isZero4 = new IsZero(2,4);
        instructions.add(isZero4);
private void run() {
        Succ succ = null;
        Pred pred = null;
        IsZero isZero = null;
        int whichInst = 0; //represents the instruction
        System.out.println("Starting values:");
        for(int i = 0; i < registers.size(); i++) {
```
//Instruction \langle identifier> = new Instruction(args);

}

```
System.out.println("R" + i + "=" +
         ((\text{Integer})\text{registers.get}(i)).\text{toString}( ) + " ");}
System.out.println();
for(PC = 0; PC < instructions.size();) {
        //determine which instruction we're executing
        try {
                 pred = (Pred) instructions.get(PC);
                 whichInst = 1;
        }
        catch(ClassCastException e) {
                 try {
                         succ = (Succ) instructions.get(PC);
                         whichInst = 2;}
                 catch(ClassCastException f) {
                         try {
                                  isZero = (IsZero) instructions.get(PC);
                                  whichInst = 3;
                         }
                         catch(Exception g) {
                                  System.out.println(
                                  "Something's gone wrong. "
                                  + "Check your URM instructions.");
                                  System.exit(1);
                         }
                 }
        }
        //now we have the instruction, let's get to work on it
        switch (whichInst) {
                 case 1:
                         doPred(pred.getReg());
                         break;
                 case 2:
                         doSucc(succ.getReg());
```

```
9
```

```
break;
                         case 3:
                                 doIsZero(isZero.getReg(),isZero.getNext());
                                 break;
                        default:
                                 //do nothing
                }
        }
}
//fill null elements in the arraylist with zeroes when referred to
private void fillZeroes(int reg) {
        int theSize = registers.size();
        for(int i = theSize; i \leq reg; i++) {
                registers.add(i,new Integer(0));
        }
}
private void doPred(int reg) {
        System.out.println("[Pred][Reg: " + reg + "]");
        fillZeroes(reg);
        if(((Integer)registers.get(reg)).intValue() > 0) {
                registers.set(reg,
                 new Integer(((Integer)registers.get(reg)).intValue() - 1));
        }
        System.out.println("R" + reg + " = " +
         ((Integer)registers.get(reg)).toString());
        System.out.println();
        PC++:
}
private void doSucc(int reg) {
        System.out.println("[Succ][Reg: " + reg + "]");
        fillZeroes(reg);
        registers.set(reg,
         new Integer(((Integer)registers.get(reg)).intValue() + 1));
        System.out.println("R'' + reg + " = " +((Integer)registers.get(reg)).toString());
```

```
System.out.println();
        PC++;}
private void doIsZero(int reg, int next) {
        System.out.println("[IsZero][Reg: " +
        reg + "][Next: " + next + "]");
        fillZeroes(reg);
        boolean isZero = false;
        if(((Integer)registers.get(reg)).intValue() == 0) {
               PC = next;isZero = true;
        } else {
               PC++;}
        System.out.println("(R'' + reg + " = 0) = " +isZero + ", next = " + PC);System.out.println();
}
private void outputResult() {
        System.out.println("RO = " + registers.get(0));}
public static void main(String args[]) {
        URM urm = new URM();
        urm.load();
        urm.run();
        urm.outputResult();
}
```
}

7 The programming language Agda has a termination checker, which checks whether the given program is guaranteed to terminate. Prove that we cannot write a perfect termination checker which accepts all terminating programs.

This was originally proved by Alan Turing and employs diagonalisation and proof by contradiction. Informally, the proof is as follows.

Suppose there is an algorithm, $halts(a, i)$, which takes an algorithm, a, and an input, i . If the algorithm halts on the given input, then $halts(a, i)$ returns true.

We then construct an algorithm, $test(s)$, which takes a string, s, and runs the *halts* algorithm as a subroutine, giving it s for both the a and i inputs. If halts returns true then test returns false else goes into an infinite loop.

We then run $test(t)$ where t is the string representing the program test.

If $test(t)$ halts, we can assume that *halts* returned *false*, but this would imply that $test(t)$ should not have halted.

If $test(t)$ does not halt, then it would imply that either halts returned *true*, or that *halts* itself went into an infinite loop. Therefore, $test(t)$ should have halted or *halt* does not work for all inputs.

Turing's proof assumes the algorithms are implemented on a Turing Machine. The Church-Turing thesis proved that any computer which can feasibly be built is equivalent to a Turing Machine, thus all Turing Machines are Universal Machines.